



Minutes

of the

Audit Committee Meeting of Council

held on

Thursday 15 March 2018

At 3.00pm

In

The Council Chambers

Honour Avenue Wyalkatchem

CONTENTS

1	DECLARATION OF OPENING	4
1.1	The audit committee chairman will declare the meeting open	4
1.2	The Shire of Wyalkatchem disclaimer will be read aloud	4
2	PUBLIC QUESTION TIME	4
2.1	Response to previous questions taken on notice	4
2.2	Declaration of Public Question time opened	4
2.3	Declaration of Public Question time closed	4
3	RECORD OF ATTENDANCE, APOLOGIES AND APPROVED LEAVE OF ABSENCE	4
3.1	Present	4
3.2	Apologies	4
3.3	On leave of absence	4
3.4	Staff	4
3.5	Visitors	4
3.6	Gallery	4
4	ANNOUNCEMENTS BY PRESIDING PERSON	4
5	CONFIRMATION OF MINUTES	5
5.1.1	Confirmation of Minutes from previous audit committee meeting 1 June 2017	5-6
6	REPORTS	7
6.1.1	Financial Management – Audit – Reports – Audit and Management Report 30 June 2017	7-14
6.1.2	Request for access to working papers	15-17
7	GENERAL MATTERS	18
8	CLOSURE OF THE MEETING	18

Agenda of the Audit Committee of Council to be held in Council Chambers, Cnr Honour Avenue and Flint Street, Wyalkatchem on Thursday 15 March 2018.

1. DECLARATION OF OPENING

1.1 The Presiding Person declared the Meeting open: Cr Garner 3.05pm

1.2 The Shire of Wyalkatchem disclaimer will be read aloud.

No responsibility whatsoever is implied or accepted by the Shire of Wyalkatchem for any act, omission or statement or intimation occurring during this meeting. It is strongly advised that persons do not act on what is heard at this Meeting and should only rely on written confirmation of Council's decisions, which will be provided within ten days of this meeting.

2. PUBLIC QUESTION TIME

2.1 Response to previous questions taken on notice.

There were no questions taken on notice from the last Audit Committee Meeting.

2.2 DECLARATION OF PUBLIC QUESTION TIME OPENED 3.05pm

2.3 DECLARATION OF PUBLIC QUESTION TIME CLOSED 3.05pm

3. RECORD OF ATTENDANCE, APOLOGIES, AND APPROVED LEAVE OF ABSENCE

3.1 Present: Cr Garner, Cr Gamble, Cr Metcalf, Cr Holdsworth, Cr Davies

3.2 Apologies:Cr Butt, Craig Harris

3.3 On leave of absence:Nil

3.4 Staff: Ian McCabe, Claire Trenorden, Sarah Bolt

3.5 Visitors: Nil

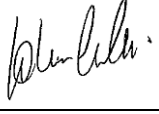
3.6 Gallery: Nil

3.7 Applications for leave of absence:Nil

4. ANNOUNCEMENTS BY THE PRESIDING PERSON WITHOUT DISCUSSION. Nil

5. CONFIRMATION OF MINUTES

5.1.1 MEETINGS – CONFIRMATION OF MINUTES – AUDIT COMMITTEE MEETING 14 DECEMBER 2017

FILE REFERENCE:	Minute Book
AUTHOR'S NAME AND POSITION:	Ella McDonald Administration Officer
AUTHOR'S SIGNATURE:	N/A
MANAGER'S NAME	Ian McCabe Chief Executive Officer
MANAGER'S SIGNATURE	
NAME OF APPLICANT/ RESPONDENT/LOCATION:	Shire of Wyalkatchem
DATE REPORT WRITTEN:	8 March 2018
DISCLOSURE OF INTEREST:	The author has no financial interest in this matter.
PREVIOUS MEETING REFERENCE:	Not Applicable

SUMMARY:

That the Audit Committee resolves the following:

- 1. Confirm the minutes as an accurate record of the Audit Committee Meeting of Council held 14 December 2017.**

Appendix:

There is no attachment to this item.

Background:

The minutes have been circulated to all Councillors and they have been made available to the public. The minutes are also published on the Shire's website.

Comment:

There is no further comment to this item.

Consultation:

Ian McCabe, Chief Executive Officer.

Statutory Environment:

- Local Government Act 1995* Part 5 Division 2 Subdivision 3 Section 5.25
- Local Government (Administration) Regulations 1996, Regulation 11 Content of minutes of council or committee meetings s. 5.25(f)
- Shire of Wyalkatchem Standing Orders Local Law 1998, Part 3 Business of the Meeting Standing Order 3.5 Confirmation of Minutes

Policy Implications:

There is no Council Policy relative to this issue.

Financial Implications:

There are no Financial Implications relative to this issue.

Strategic Plan/Risk Implications:

There are no Strategic Plan/Risk Implications relative to this issue.

Voting Requirements: Simple Majority

Audit Committee Decision Number: 1/2018

Moved: Cr Gamble

Seconded: Cr Davies

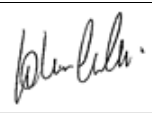
Officer Recommendation:

That the Audit Committee resolves the following:

1. **Confirm the minutes as an accurate record of the Audit Committee Meeting of Council held 14 December 2017.**

Vote: 5/0

**6.1.1. FINANCIAL MANAGEMENT – REPORTING – COMPLIANCE AUDIT
RETURN 1 JANUARY 2017 TO 31 DECEMBER 2017**

FILE REFERENCE:	12.19.01
AUTHOR'S NAME AND POSITION	Ian McCabe Chief Executive Officer
AUTHOR'S SIGNATURE:	
NAME OF APPLICANT/RESPONDENT/LOCATION:	Shire of Wyalkatchem
DATE REPORT WRITTEN:	8 March 2018
DISCLOSURE OF INTEREST:	The author has no financial interest in this matter.
PREVIOUS MEETING REFERENCE:	Audit Committee Meeting 16 March 2017 Decision No. 40

SUMMARY:

That the Audit Committee resolve the following:

- 1. Advise Council that the Committee recommends that Council adopt the Compliance Audit Return (CAR) for the period 1 January 2017 to 31 December 2017 and submit a certified copy to the Department of Local Government, Sport and Cultural Industries before 31 March 2018**

Appendix:

1. Draft Wyalkatchem Compliance Audit Return 2017

Background:

The Compliance Audit Return for the period 1 January 2017 to 31 December 2017 is required to be submitted to the Department of Local Government, Sport and Cultural Industries by 31 March 2018.

Comment:

Regulation 14 of the Local Government (Audit) Regulations 1996 requires the Local Government's Audit Committee review the Compliance Audit Return and report the results to Council, prior to the CAR's adoption by Council and submission to the department by 31 March 2018.

The timeline for submission of the Compliance Audit Return is:

1. Consideration by the audit committee at the meeting Presented to Council at its Ordinary Meeting on 15 March 2018;
2. Consideration and adoption by Council at the ordinary meeting 15 March 2018;
3. President and CEO to certify the adoption;
4. Submit to the Department of Local Government, Sport and Cultural Industries by 31 March 2018.

After the Compliance Audit Return has been presented to Council a certified copy (i.e. signed by the Shire President and Chief Executive Officer) of the return along with the relevant sections of the minutes and any additional information explaining or

qualifying the Compliance Audit Return is to be submitted to the Director General of the Department of Local Government, Sport and Cultural Industries.

The Compliance Audit Return can be used as an internal control tool to assess the Shire of Wyalkatchem's statutory compliance with the *Local Government Act 1995* and associated regulations.

Consultation:

Ian McCabe, Chief Executive Officer
Ella McDonald, Governance and Emergency Officer

Statutory Environment:

Section 7.13 (1) (i) of the *Local Government Act 1995*
Regulation 14 – Compliance Audit Return to be prepared – Local Government (Audit) Regulations 1996
Regulation 15 – Completion of Compliance Audit Return – Local Government (Audit) Regulations 1996

Policy Implications:

There is no Council Policy relative to this issue.

Financial Implications:

There are no Financial Implications relative to this issue.

Strategic/Risk Implications:

The Shire is required to complete and lodge the CAR by 31 March of each year following adoption by Council; failure to complete this process will make the Shire non-compliant with requirements of the Act.

Voting Requirements: Simple Majority

Audit committee decision number: 2/2018

Moved: Cr Holdsworth **Seconded:** Cr Davies

Officer Recommendation:

That the Audit Committee resolve the following:

- 1. Advise Council that the Committee recommends that Council adopt the Compliance Audit Return (CAR) for the period 1 January 2017 to 31 December 2017 and submit a certified copy to the Department of Local Government, Sport and Cultural Industries before 31 March 2018.**

Vote: 5/0



Wyalkatchem - Compliance Audit Return 2017

Certified Copy of Return

Please submit a signed copy to the Director General of the Department of Local Government, Sport and Cultural Industries together with a copy of section of relevant minutes.

Commercial Enterprises by Local Governments					
No	Reference	Question	Response	Comments	Respondent
1	s3.59(2)(a)(b)(c) F&G Reg 7,9	Has the local government prepared a business plan for each major trading undertaking in 2017.	N/A		Ella McDonald
2	s3.59(2)(a)(b)(c) F&G Reg 7,10	Has the local government prepared a business plan for each major land transaction that was not exempt in 2017.	N/A		Ella McDonald
3	s3.59(2)(a)(b)(c) F&G Reg 7,10	Has the local government prepared a business plan before entering into each land transaction that was preparatory to entry into a major land transaction in 2017.	N/A		Ella McDonald
4	s3.59(4)	Has the local government given Statewide public notice of each proposal to commence a major trading undertaking or enter into a major land transaction for 2017.	N/A		Ella McDonald
5	s3.59(5)	Did the Council, during 2017, resolve to proceed with each major land transaction or trading undertaking by absolute majority.	N/A		Ella McDonald



Delegation of Power / Duty					
No	Reference	Question	Response	Comments	Respondent
1	s5.16, 5.17, 5.18	Were all delegations to committees resolved by absolute majority.	Yes		Ella McDonald
2	s5.16, 5.17, 5.18	Were all delegations to committees in writing.	Yes		Ella McDonald
3	s5.16, 5.17, 5.18	Were all delegations to committees within the limits specified in section 5.17.	Yes		Ella McDonald
4	s5.16, 5.17, 5.18	Were all delegations to committees recorded in a register of delegations.	Yes		Ella McDonald
5	s5.18	Has Council reviewed delegations to its committees in the 2016/2017 financial year.	Yes		Ella McDonald
6	s5.42(1),5.43 Admin Reg 18G	Did the powers and duties of the Council delegated to the CEO exclude those as listed in section 5.43 of the Act.	Yes		Ella McDonald
7	s5.42(1)(2) Admin Reg 18G	Were all delegations to the CEO resolved by an absolute majority.	Yes		Ella McDonald
8	s5.42(1)(2) Admin Reg 18G	Were all delegations to the CEO in writing.	Yes		Ella McDonald
9	s5.44(2)	Were all delegations by the CEO to any employee in writing.	Yes		Ella McDonald
10	s5.45(1)(b)	Were all decisions by the Council to amend or revoke a delegation made by absolute majority.	Yes		Ella McDonald
11	s5.46(1)	Has the CEO kept a register of all delegations made under the Act to him and to other employees.	Yes		Ella McDonald
12	s5.46(2)	Were all delegations made under Division 4 of Part 5 of the Act reviewed by the delegator at least once during the 2016/2017 financial year.	Yes		Ella McDonald
13	s5.46(3) Admin Reg 19	Did all persons exercising a delegated power or duty under the Act keep, on all occasions, a written record as required.	Yes		Ella McDonald

Disclosure of Interest					
No	Reference	Question	Response	Comments	Respondent
1	s5.67	If a member disclosed an interest, did he/she ensure that they did not remain present to participate in any discussion or decision-making procedure relating to the matter in which the interest was disclosed (not including participation approvals granted under s5.68).	Yes		Ella McDonald
2	s5.68(2)	Were all decisions made under section 5.68(1), and the extent of participation allowed, recorded in the minutes of Council and Committee meetings.	Yes		Ella McDonald



No	Reference	Question	Response	Comments	Respondent
3	s5.73	Were disclosures under section 5.65 or 5.70 recorded in the minutes of the meeting at which the disclosure was made.	Yes		Ella McDonald
4	s5.75(1) Admin Reg 22 Form 2	Was a primary return lodged by all newly elected members within three months of their start day.	Yes		Ella McDonald
5	s5.75(1) Admin Reg 22 Form 2	Was a primary return lodged by all newly designated employees within three months of their start day.	Yes		Ella McDonald
6	s5.76(1) Admin Reg 23 Form 3	Was an annual return lodged by all continuing elected members by 31 August 2017.	Yes		Ella McDonald
7	s5.76(1) Admin Reg 23 Form 3	Was an annual return lodged by all designated employees by 31 August 2017.	Yes		Ella McDonald
8	s5.77	On receipt of a primary or annual return, did the CEO, (or the Mayor/ President in the case of the CEO's return) on all occasions, give written acknowledgment of having received the return.	Yes		Ella McDonald
9	s5.88(1)(2) Admin Reg 28	Did the CEO keep a register of financial interests which contained the returns lodged under section 5.75 and 5.76	Yes		Ella McDonald
10	s5.88(1)(2) Admin Reg 28	Did the CEO keep a register of financial interests which contained a record of disclosures made under sections 5.65, 5.70 and 5.71, in the form prescribed in Administration Regulation 28.	Yes		Ella McDonald
11	s5.88 (3)	Has the CEO removed all returns from the register when a person ceased to be a person required to lodge a return under section 5.75 or 5.76.	Yes		Ella McDonald
12	s5.88(4)	Have all returns lodged under section 5.75 or 5.76 and removed from the register, been kept for a period of at least five years, after the person who lodged the return ceased to be a council member or designated employee.	Yes		Ella McDonald
13	s5.103 Admin Reg 34C & Rules of Conduct Reg 11	Where an elected member or an employee disclosed an interest in a matter discussed at a Council or committee meeting where there was a reasonable belief that the impartiality of the person having the interest would be adversely affected, was it recorded in the minutes.	Yes		Ella McDonald
14	s5.70(2)	Where an employee had an interest in any matter in respect of which the employee provided advice or a report directly to the Council or a Committee, did that person disclose the nature of that interest when giving the advice or report.	Yes		Ella McDonald



No	Reference	Question	Response	Comments	Respondent
15	s5.70(3)	Where an employee disclosed an interest under s5.70(2), did that person also disclose the extent of that interest when required to do so by the Council or a Committee.	Yes		Ella McDonald
16	s5.103(3) Admin Reg 34B	Has the CEO kept a register of all notifiable gifts received by Council members and employees.	Yes		Ella McDonald

Disposal of Property

No	Reference	Question	Response	Comments	Respondent
1	s3.58(3)	Was local public notice given prior to disposal for any property not disposed of by public auction or tender (except where excluded by Section 3.58(5)).	Yes		Ella McDonald
2	s3.58(4)	Where the local government disposed of property under section 3.58(3), did it provide details, as prescribed by section 3.58(4), in the required local public notice for each disposal of property.	Yes		Ella McDonald

Elections

No	Reference	Question	Response	Comments	Respondent
1	Elect Reg 30G (1)	Did the CEO establish and maintain an electoral gift register and ensure that all 'disclosure of gifts' forms completed by candidates and received by the CEO were placed on the electoral gift register at the time of receipt by the CEO and in a manner that clearly identifies and distinguishes the candidates.	Yes		Ella McDonald

Finance

No	Reference	Question	Response	Comments	Respondent
1	s7.1A	Has the local government established an audit committee and appointed members by absolute majority in accordance with section 7.1A of the Act.	Yes		Ella McDonald
2	s7.1B	Where a local government determined to delegate to its audit committee any powers or duties under Part 7 of the Act, did it do so by absolute majority.	Yes		Ella McDonald
3	s7.3	Was the person(s) appointed by the local government to be its auditor, a registered company auditor.	Yes		Ella McDonald
4	s7.3, 7.6(3)	Was the person or persons appointed by the local government to be its auditor, appointed by an absolute majority decision of Council.	Yes		Ella McDonald



No	Reference	Question	Response	Comments	Respondent
5	Audit Reg 10	Was the Auditor's report for the financial year ended 30 June 2017 received by the local government within 30 days of completion of the audit.	Yes		Ella McDonald
6	s7.9(1)	Was the Auditor's report for the financial year ended 30 June 2017 received by the local government by 31 December 2017.	Yes		Ella McDonald
7	S7.12A(3)	Where the local government determined that matters raised in the auditor's report prepared under s7.9 (1) of the Act required action to be taken by the local government, was that action undertaken.	Yes		Ella McDonald
8	S7.12A (4)	Where the local government determined that matters raised in the auditor's report (prepared under s7.9 (1) of the Act) required action to be taken by the local government, was a report prepared on any actions undertaken.	Yes		Ella McDonald
9	S7.12A (4)	Where the local government determined that matters raised in the auditor's report (prepared under s7.9 (1) of the Act) required action to be taken by the local government, was a copy of the report forwarded to the Minister by the end of the financial year or 6 months after the last report prepared under s7.9 was received by the local government whichever was the latest in time.	Yes		Ella McDonald
10	Audit Reg 7	Did the agreement between the local government and its auditor include the objectives of the audit.	Yes		Ella McDonald
11	Audit Reg 7	Did the agreement between the local government and its auditor include the scope of the audit.	Yes		Ella McDonald
12	Audit Reg 7	Did the agreement between the local government and its auditor include a plan for the audit.	Yes		Ella McDonald
13	Audit Reg 7	Did the agreement between the local government and its auditor include details of the remuneration and expenses to be paid to the auditor.	Yes		Ella McDonald
14	Audit Reg 7	Did the agreement between the local government and its auditor include the method to be used by the local government to communicate with, and supply information to, the auditor.	Yes		Ella McDonald



Integrated Planning and Reporting					
No	Reference	Question	Response	Comments	Respondent
1	s5.56 Admin Reg 19DA (6)	Has the local government adopted a Corporate Business Plan. If Yes, please provide adoption date of the most recent Plan in Comments. This question is optional, answer N/A if you choose not to respond.	Yes	Currently under review and due for presentation to Council 21 June 2018.	Ella McDonald
2	s5.56 Admin Reg 19DA (6)	Has the local government adopted a modification to the most recent Corporate Business Plan. If Yes, please provide adoption date in Comments. This question is optional, answer N/A if you choose not to respond.	No		Ella McDonald
3	s5.56 Admin Reg 19C (7)	Has the local government adopted a Strategic Community Plan. If Yes, please provide adoption date of the most recent Plan in Comments. This question is optional, answer N/A if you choose not to respond.	Yes	30 June 2016. Currently under review and due for presentation to Council 21 June 2018.	Ella McDonald
4	s5.56 Admin Reg 19C (7)	Has the local government adopted a modification to the most recent Strategic Community Plan. If Yes, please provide adoption date in Comments. This question is optional, answer N/A if you choose not to respond.	No		Ella McDonald
5	S5.56	Has the local government adopted an Asset Management Plan. If Yes, in Comments please provide date of the most recent Plan, plus if adopted or endorsed by Council the date of adoption or endorsement. This question is optional, answer N/A if you choose not to respond.	No	Council has adopted policy and framework relevant to the plan. The plan will be incorporated in the Corporate Business Plan which will be taken to Council on 21 June 2018.	Ella McDonald
6	S5.56	Has the local government adopted a Long Term Financial Plan. If Yes, in Comments please provide date of the most recent Plan, plus if adopted or endorsed by Council the date of adoption or endorsement. This question is optional, answer N/A if you choose not to respond.	Yes	30 June 2016.	Ella McDonald
7	S5.56	Has the local government adopted a Workforce Plan. If Yes, in Comments please provide date of the most recent Plan plus if adopted or endorsed by Council the date of adoption or endorsement. This question is optional, answer N/A if you choose not to respond.	Yes	17 April 2014	Ella McDonald



Local Government Employees					
No	Reference	Question	Response	Comments	Respondent
1	Admin Reg 18C	Did the local government approve the process to be used for the selection and appointment of the CEO before the position of CEO was advertised.	N/A		Ella McDonald
2	s5.36(4) s5.37(3), Admin Reg 18A	Were all vacancies for the position of CEO and other designated senior employees advertised and did the advertising comply with s.5.36(4), 5.37(3) and Admin Reg 18A.	Yes		Ella McDonald
3	Admin Reg 18F	Was the remuneration and other benefits paid to a CEO on appointment the same remuneration and benefits advertised for the position of CEO under section 5.36(4).	N/A		Ella McDonald
4	Admin Regs 18E	Did the local government ensure checks were carried out to confirm that the information in an application for employment was true (applicable to CEO only).	N/A		Ella McDonald
5	s5.37(2)	Did the CEO inform council of each proposal to employ or dismiss a designated senior employee.	Yes		Ella McDonald



Official Conduct					
No	Reference	Question	Response	Comments	Respondent
1	s5.120	Where the CEO is not the complaints officer, has the local government designated a senior employee, as defined under s5.37, to be its complaints officer.	N/A	The CEO is the complaints officer unless the complaint is about the CEO, in which case the Governance Officer (who is not a senior employee) is the complaints officer, with reference to the President	Ella McDonald
2	s5.121(1)	Has the complaints officer for the local government maintained a register of complaints which records all complaints that result in action under s5.110(6)(b) or (c).	Yes		Ella McDonald
3	s5.121(2)(a)	Does the complaints register maintained by the complaints officer include provision for recording of the name of the council member about whom the complaint is made.	Yes		Ella McDonald
4	s5.121(2)(b)	Does the complaints register maintained by the complaints officer include provision for recording the name of the person who makes the complaint.	Yes		Ella McDonald
5	s5.121(2)(c)	Does the complaints register maintained by the complaints officer include provision for recording a description of the minor breach that the standards panel finds has occurred.	Yes		Ella McDonald
6	s5.121(2)(d)	Does the complaints register maintained by the complaints officer include the provision to record details of the action taken under s5.110(6)(b) or (c).	Yes		Ella McDonald

Tenders for Providing Goods and Services					
No	Reference	Question	Response	Comments	Respondent
1	s3.57 F&G Reg 11	Did the local government invite tenders on all occasions (before entering into contracts for the supply of goods or services) where the consideration under the contract was, or was expected to be, worth more than the consideration stated in Regulation 11(1) of the Local Government (Functions & General) Regulations (Subject to Functions and General Regulation 11(2)).	Yes		Ella McDonald
2	F&G Reg 12	Did the local government comply with F&G Reg 12 when deciding to enter into multiple contracts rather than inviting tenders for a single contract.	N/A		Ella McDonald
3	F&G Reg 14(1) & (3)	Did the local government invite tenders via Statewide public notice.	Yes		Ella McDonald



No	Reference	Question	Response	Comments	Respondent
4	F&G Reg 14 & 15	Did the local government's advertising and tender documentation comply with F&G Regs 14, 15 & 16.	Yes		Ella McDonald
5	F&G Reg 14(5)	If the local government sought to vary the information supplied to tenderers, was every reasonable step taken to give each person who sought copies of the tender documents or each acceptable tenderer, notice of the variation.	Yes		Ella McDonald
6	F&G Reg 16	Did the local government's procedure for receiving and opening tenders comply with the requirements of F&G Reg 16.	Yes		Ella McDonald
7	F&G Reg 18(1)	Did the local government reject the tenders that were not submitted at the place, and within the time specified in the invitation to tender.	Yes		Ella McDonald
8	F&G Reg 18 (4)	In relation to the tenders that were not rejected, did the local government assess which tender to accept and which tender was most advantageous to the local government to accept, by means of written evaluation criteria.	Yes		Ella McDonald
9	F&G Reg 17	Did the information recorded in the local government's tender register comply with the requirements of F&G Reg 17.	Yes		Ella McDonald
10	F&G Reg 19	Was each tenderer sent written notice advising particulars of the successful tender or advising that no tender was accepted.	Yes		Ella McDonald
11	F&G Reg 21 & 22	Did the local governments's advertising and expression of interest documentation comply with the requirements of F&G Regs 21 and 22.	Yes		Ella McDonald
12	F&G Reg 23(1)	Did the local government reject the expressions of interest that were not submitted at the place and within the time specified in the notice.	N/A		Ella McDonald
13	F&G Reg 23(4)	After the local government considered expressions of interest, did the CEO list each person considered capable of satisfactorily supplying goods or services.	Yes		Ella McDonald
14	F&G Reg 24	Was each person who submitted an expression of interest, given a notice in writing in accordance with Functions & General Regulation 24.	Yes		Ella McDonald
15	F&G Reg 24AD(2)	Did the local government invite applicants for a panel of pre-qualified suppliers via Statewide public notice.	Yes		Ella McDonald
16	F&G Reg 24AD(4) & 24AE	Did the local government's advertising and panel documentation comply with F&G Regs 24AD(4) & 24AE.	Yes		Ella McDonald



No	Reference	Question	Response	Comments	Respondent
17	F&G Reg 24AF	Did the local government's procedure for receiving and opening applications to join a panel of pre-qualified suppliers comply with the requirements of F&G Reg 16 as if the reference in that regulation to a tender were a reference to a panel application.	Yes		Ella McDonald
18	F&G Reg 24AD(6)	If the local government sought to vary the information supplied to the panel, was every reasonable step taken to give each person who sought detailed information about the proposed panel or each person who submitted an application, notice of the variation.	N/A		Ella McDonald
19	F&G Reg 24AH(1)	Did the local government reject the applications to join a panel of pre-qualified suppliers that were not submitted at the place, and within the time specified in the invitation for applications.	N/A		Ella McDonald
20	F&G Reg 24AH(3)	In relation to the applications that were not rejected, did the local government assess which application (s) to accept and which application(s) were most advantageous to the local government to accept, by means of written evaluation criteria.	Yes		Ella McDonald
21	F&G Reg 24AG	Did the information recorded in the local government's tender register about panels of pre-qualified suppliers, comply with the requirements of F&G Reg 24AG.	Yes	WALGA EQuotes system used.	Ella McDonald
22	F&G Reg 24AI	Did the local government send each person who submitted an application, written notice advising if the person's application was accepted and they are to be part of a panel of pre-qualified suppliers, or, that the application was not accepted.	Yes	Done through WALGA EQuotes system.	Ella McDonald
23	F&G Reg 24E	Where the local government gave a regional price preference in relation to a tender process, did the local government comply with the requirements of F&G Reg 24E in relation to the preparation of a regional price preference policy (only if a policy had not been previously adopted by Council).	N/A		Ella McDonald
24	F&G Reg 24F	Did the local government comply with the requirements of F&G Reg 24F in relation to an adopted regional price preference policy.	N/A		Ella McDonald
25	F&G Reg 11A	Does the local government have a current purchasing policy in relation to contracts for other persons to supply goods or services where the consideration under the contract is, or is expected to be, \$150,000 or less.	Yes	Policy is currently under review.	Ella McDonald



Department of
**Local Government, Sport
and Cultural Industries**

I certify this Compliance Audit return has been adopted by Council at its meeting on _____

Signed Mayor / President, Wyalkatchem

Signed CEO, Wyalkatchem

7. **GENERAL MATTERS:** Auditor General putting EOI out to 6 companies for a new auditor. Interim and annual audit maybe delayed from normal timing until someone is appointed.

Cr Butt entered the room 3.10pm

8. **CLOSURE OF THE MEETING** 3.11pm